

FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEYS DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

15280-26100US

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/180132

INTERNATIONAL APPLICATION NO.

PCT/US97/07373 ✓

INTERNATIONAL FILING DATE

April 30, 1997 ✓

PRIORITY DATE CLAIMED

May 1, 1996 ✓

TITLE OF INVENTION

21-SUBSTITUTED PROGESTERONE DERIVATIVES AS NEW ANTIPROGESTATIONAL AGENTS ✓

APPLICANT(S) FOR DO/EO/US HYUN K. KIM; RICHARD P. BLYE; PEMMARAJU N. RAO; ✓
JAMES W. CESSAC; CARMIE K. ACOSTA ✓

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

International Search Report
3 sheets informal drawings

09180132-052499

17. <input checked="" type="checkbox"/> The following fees are submitted: <div style="margin-left: 20px;"> Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO..... \$910.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$700.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$770.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00 <div style="text-align: right;"> ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 930.00 </div> </div>	<div style="border: 1px solid black; padding: 5px;"> CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;"> FEE VALUE ACCOMPANIED BY DEPOSIT ACCOUNT NO. <div style="display: flex; justify-content: space-between;"> 20 1430 </div> </div> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">FEE</th> <th style="width: 30%;">DATE</th> <th style="width: 40%;">VALUE</th> </tr> </thead> <tbody> <tr> <td>970</td> <td></td> <td>930.00</td> </tr> <tr> <td>25</td> <td></td> <td>720.00</td> </tr> <tr> <td>160</td> <td></td> <td>352.00</td> </tr> <tr> <td colspan="2"></td> <td>930.00</td> </tr> </tbody> </table> </div>	FEE	DATE	VALUE	970		930.00	25		720.00	160		352.00			930.00
FEE	DATE	VALUE														
970		930.00														
25		720.00														
160		352.00														
		930.00														

Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	36 -20 -	6	X \$22.00	\$132	
Independent Claims	1 -3 -		X \$80.00	\$	
Multiple dependent claims(s) (if applicable)				+ \$260.00	\$
TOTAL OF ABOVE CALCULATIONS				=	\$ 1,062
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL				=	\$
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$	
TOTAL NATIONAL FEE				=	\$ 1,062
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED				=	\$ 1,062
				Amount to be:	
				refunded \$	
				charged \$	1,062

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 20-1430 in the amount of \$ 1,062 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to the Deposit Account No. 20-1430. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
 Eugenia Garrett-Wackowski
 Townsend and Townsend and Crew LLP
 Two Embarcadero Center, 8th Fl.
 San Francisco, CA 94111

Eugenia Garrett-Wackowski

NAME

37,330

REGISTRATION NUMBER

09/180132

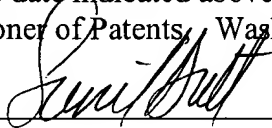
303 Rec'd PCT/PTO 02 NOV 1998

EXPRESS MAIL NO. EL104194865US

DATE OF DEPOSIT: November 2, 1998

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D. C. 20231.

By: _____



Attorney Docket No. 015280-261100US